
Towards General-purpose Infrastructure for Protecting Scientific Data Under Study

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Abstract

1 The scientific method presents a key challenge to privacy because it requires many
2 samples to support a claim. When samples are commercially valuable or privacy-
3 sensitive enough, their owners have strong reasons to avoid releasing them for
4 scientific study. Privacy techniques seek to mitigate this tension by enforcing limits
5 on one’s ability to use studied samples for secondary purposes. Recent work has
6 begun combining these techniques into end-to-end systems for protecting data. In
7 this work, we assemble the first such combination which is sufficient for a privacy-
8 layman to use familiar tools to experiment over private data while the infrastructure
9 automatically prohibits privacy leakage. We support this theoretical system with a
10 prototype within the Syft privacy platform using the PyTorch framework.

11 The scientific method has become one of humanity’s most successful and fundamental sources of
12 truth and our chief weapon of innovation and prosperity [49, 47]. However, it has a core constraint, it
13 relies on the ability to observe the subject we want to understand [2]. Despite the so-called “big data
14 revolution”, not all data is universally available [28]. Specifically, the more personal and/or valuable
15 the hypothesis we wish to validate, the more personal and/or valuable the data it requires (i.e., if an
16 output is sensitive or valuable so too is the input capable of creating it). This creates a dilemma for
17 holders of particularly personal and valuable data; Not only does every customer instantly becomes a
18 competitor for all uses of the data (even and especially within academia), but every act of sharing
19 carries with it significant ethical and legal risk. [3, 28, 4, 27, 19]. These combined effects polarize
20 the data marketplace such that data is either shared as rapidly as possible or not at all.

21 While the former consequence is perhaps more well known, the latter is particularly unfortunate.
22 The inability to analyze society’s most valuable and personal data is the inability to answer life’s
23 most valuable and personal questions - perhaps the questions most worth answering. In this work,
24 we argue that the key to solving this market failure is the creation of an end-to-end system for the
25 automatic protection of data under study - such that data can be studied without being shared and
26 without data owners actively participating in experiments. We propose such a system and design an
27 open source prototype.

28 **Technical Guarantees for Protecting Data Under Analysis** The central puzzle of privacy is that
29 we need to share information to collaborate but we cannot limit our collaborators from using such
30 information against us. Privacy enhancing technologies seek to allow information to be collaboratively
31 used in a way that its future use can be carefully limited by the data owner. In the context of empirical
32 research, we observe that these technologies provide three guarantees involving two personas: the

33 data scientist and the data owner. These three guarantees are: protecting data from being copied
34 by the data scientist, preventing statistical queries/results from being copied by the data owner, and
35 preventing such statistical techniques from memorizing data in a way that the original data could be
36 later extracted from it. With some exceptions, privacy enhancing technologies can be neatly grouped
37 into these three categories when used in the context of empirical research.

38 Preventing a data scientist from copying and subsequently using data can be accomplished by bringing
39 the algorithm to the data via federated learning/analytics [38, 12]. Preventing a data owner from
40 copying and subsequently using the algorithm sent to the data can be accomplished using any flavor
41 of encrypted computation: homomorphic encryption, secure multi-party computation, and functional
42 encryption bogdanov2014input, barbosa2012delegatable. Preventing the statistical computation from
43 memorizing data can be accomplished through output privacy, most notably differential privacy
44 [21, 20, 40, 22, 1, 45]. If combined properly, a system with such a combination of integrated
45 techniques could provide end-to-end guarantees sufficient for general data science over private data.

46 0.1 System Requirements

47 Despite tremendous progress on the theoretical capabilities of these systems, no implementation has
48 yet emerged as a general-purpose alternative sufficient for scientists at large to no longer aggregate
49 data (see supplementary material for a comprehensive overview of existing projects). We assert that
50 such a system would require the following integrated features:

- 51 • **RPC (Federated Learning):** allow one to work with data on machines they do not control.
- 52 • **Arbitrary Pre-publish and Post-publish Differential Privacy Composition:** facilitate
53 efficient tracking of arbitrary remote computation both before (entity l2-norm) and after
54 (composition) variables are made public [43, 55].
- 55 • **User-level Permissions:** require certain privacy budget constraints to be met before statisti-
56 cal results can be released to a data scientist user.
- 57 • **Adaptive Budgeting, Filter, and Approximate Odometer:** allow arbitrary exploration of
58 the data while informing/limiting the data scientist based on how much budget remains [25].
- 59 • **Budget Simulations:** allow for remote analysis to occur which tracks a hypothetical budget
60 so that a data scientist can measure whether, at the end of many compositions, the accuracy
61 gained from their model would be worth the privacy budget spend and, if it does not, decide
62 not to download any of the results (actually spend the budget).
- 63 • **Individual DP:** track privacy at the individual level for various reasons. Chief among
64 them is the need for individuals represented in multiple datasets (perhaps even at multiple
65 institutions) to ensure that there is an upper bound on the total amount of unique statistical
66 information released about them. This is what actually prevents harm [23].

67 Nearly all of these properties exist in at least one tool or theoretical contribution. The exception is
68 sufficiently automatic sensitivity. Our first major contribution is a general pre-publish composition
69 language (flexible enough for any polynomial function) followed by a proposal for how it can be
70 combined with previously proposed components to create an end-to-end system with these attributes.
71 We finish with empirical baselines measuring the performance of a prototype system.

72 1 PrivateScalar

73 We propose a novel tool for sensitivity analysis which models a database query as a polynomial over
74 scalar values. Each free variable corresponds to an input variable contributed from a unique entity.

75 **Definition 1.1 (PrivateScalar)** *Let y be a private scalar constructed using inputs from n entities*
76 *formed with the following metadata (Private variables are **bold**):*

77 $y^g : (\mathbb{R}^n \rightarrow \mathbb{R})$ *a polynomial function with a single, clipped indeterminate for each entity*
78 *contributing to y .*

79 \mathbf{y}^x : *the vector $\mathbf{y}^x \in \mathbb{R}^n$ represents the underlying value of each indeterminate within y^g which,*
80 *if input to the polynomial, returns $y^g(\mathbf{y}^x) = y$.*

81 y^f : (floor) each element of the vector $y^f \in \mathbb{R}^n$ represents the minimum possible value of the
 82 indeterminate y_i^x input into y^g .

83 y^c : (ceiling) each element of the vector $y^c \in \mathbb{R}^n$ represents the maximum possible value of the
 84 indeterminate y_i^x input into y^g .

85 y : the value of the private scalar, taken by clipping each indeterminate y^x within the range of
 86 y^c and y^f and passing it into the polynomial y^g

87 Let us consider an example. Consider 100 individuals each contributing their age to a study. Entity i
 88 would initialize a PrivateScalar with an internal polynomial with 100 indeterminates, all with factors
 89 equal to 0 except for the i^{th} factor which is 1. Similarly, y^x would also be a one-hot vector, with
 90 entity i 's age represented in the i^{th} position. Executing $y^g(y^x)$ would thus simply return entity i 's
 91 age. However, if one wished to compute any arbitrary function over the 100 ages (such as a sum,
 92 mean, or arbitrary polynomial), each operation would manipulate the polynomial over inputs instead
 93 of manipulating the inputs themselves. This keeps the inputs disentangled as operations construct a
 94 complex query, the result of which is only calculated when the result is to be published (with noise).

95 We now consider how PrivateScalar can be applied within the context of the individual Rényi DP
 96 of [25]. Example 1.2 from [25] shows how to determine the entity-specific epsilon spend per query
 97 in the context of a Lipschitz function over a database (See appendix or [25] for the definition of
 98 individual RDP using notation matching this example).

99 **Example 1.2 (Lipschitz analyses)** Suppose that $g : (\mathbb{R}^d)^n \rightarrow \mathbb{R}^d$ is L_i -Lipschitz in coordinate
 100 i . For $\phi : \mathcal{X} \rightarrow \mathbb{R}^d$, let $\mathcal{A}(S) = g(\phi(X_1), \dots, \phi(X_n)) + \xi$, $\xi \sim N(0, \sigma^2 \mathbb{I}_d)$. Assume that
 101 for some X^* , $\phi(X^*)$ is the origin. By using X^* to replace a removed element (namely $S^{-i} =$
 102 $(X_1, \dots, X_{i-1}, X^*, X_{i+1}, \dots, X_n)$), then \mathcal{A} satisfies $\left(\alpha, \frac{\alpha L_i^2 \|\phi(X_i)\|_2^2}{2\sigma^2}\right)$ individual RDP for X_i .

103 Given that g is a query over private data X (where each element of X_i comes from unique entity
 104 i), the key question this example answers is: how much privacy budget does entity i spend when
 105 the output of $\mathcal{A}(S) = g(\phi(X_1), \dots, \phi(X_n)) + \xi$ is made public? The answer to this question is
 106 $\left(\alpha, \frac{\alpha L_i^2 \|\phi(X_i)\|_2^2}{2\sigma^2}\right)$, the two key terms of which are L_i^2 and $\|\phi(X_i)\|_2^2$, which PrivateScalar reveals.
 107 PrivateScalar mirrors this definition. g corresponds to y^g , an arbitrary polynomial over y^x , which
 108 corresponds directly to X . $\phi()$ is expressed within the factors of the polynomial. Thus, recovering
 109 the two key terms L_i^2 and $\|\phi(X_i)\|_2^2$ are as simple as considering the Lipschitz bound on each free
 110 variable in the polynomial and the L2 norm of the input respectively.

111 **Recovering The Lipschitz Constant** Any part of the query which involves information mixing
 112 (non additively) between multiple entities is captured in g . The term L_i^2 refers to the squared Lipschitz
 113 constant of g , with respect to the output of $\phi(X_i)$. This Lipschitz constant is not over an infinite
 114 range, however, but is only over the range of values expressed by the removal/replacement of $\phi(X_i)$.

115 However, while the L2 norm of the input is easy to compute, the Lipschitz bound on g can be more
 116 complex, because the derivative of y^g with respect to X_i may be conditioned on private data from
 117 entities other than i (if y^g multiplies two or more free variables). In the literature it is often assumed
 118 that each entity is able to see the remaining budget with respect to itself, thus each individual's budget
 119 should only be conditioned on private data of itself (and public data from others)¹

120 To avoid this, challenge we instead consider the maximum possible derivative over all possible y^g
 121 polynomials given the publicly known ranges of all of its free variables (as defined by y^f and y^c ,
 122 which are public). While computing this derivative can be challenging for complex polynomials,
 123 some special cases exist.

124 **Special Cases** In the case that the polynomial is comprised exclusively of non-negative factors and
 125 free variables, the polynomial becomes positively monotonic. In this case, finding the maximum
 126 possible derivative can be computed in closed form by considering y^g when all inputs y^x equal

¹It is unclear whether this is a problem in all settings - as each entity need not necessarily know the current budget with respect to their data, and epsilon is considered private in [25]. We offer a conservative alternative for sake of generality.

127 exactly their upper bounds y^c . In the case that y^g is a first-degree polynomial, then the Lipschitz
128 constant is equal to the public coefficient corresponding to y^x .

129 Thus, for any private scalar we seek to publish, which may have been formed from any polynomial
130 over entity information, the amount of privacy spend for each contributing entity can be determined.
131 This makes PrivateScalar comparable to previous work in sensitivity type systems, with several
132 advantages over prior works (see Table 2 for prior works):

- 133 • in the spirit of [25], our sensitivity system employs some data-dependent information
134 (namely x_i), which is tighter than previous purely data-independent approaches.
- 135 • this tool is capable of private-private multiplication between, and non-linear functions over,
136 private values - which (to our knowledge) no existing tool for sensitivity analysis can bound.

137 The primary constraint of the PrivateScalar data-structure is one of performance; if one performs
138 many computation on PrivateScalar values which involve multiple entities and potentially negative
139 values, the underlying polynomial is likely to become very large, and the Lipschitz bounds expensive
140 to compute.

141 2 An End-to-End System for Private Data Analysis

142 To fulfill the requirements of section 0.1, we combine PrivateScalar with the following tools toward
143 an end-to-end system.

- 144 • **RPC (Federated Learning):** we leverage the RPC federated learning capabilities of the
145 PySyft PPML framework.
- 146 • **Arbitrary Pre-publish and Post-publish Composition:** pre-publish composition is ac-
147 complished via PrivateScalar, and post-publish composition via the autodp tool of [55].
- 148 • **Permissions:** We integrate with the new 0.3.0 alpha release of PySyft, whose RPC frame-
149 work has object-level, user-level permissions.
- 150 • **Adaptive DP Filter and Approximate Odometer:** we adopt the approach of [25].
- 151 • **Budget Simulations:** a data scientist can also copy their current odometer (remaining
152 privacy budget) into a simulated data structure, then using this copy to simulate how a
153 budget could be sent by telling this simulated odometer that it is publishing objects that
154 haven't yet actually been downloaded. In this way, a data scientist can plan how a budget
155 could be spent and search for the optimal privacy/accuracy tradeoff for their system before
156 actually spending the true budget (downloading the results).
- 157 • **Individual DP:** we augment the autodp framework of [55] with the individual DP method
158 proposed in [25] within the PySyft framework.

159 While length will not allow a full exposition of each of these features, perhaps the most important
160 integration to discuss is that between the permissions system of PySyft and the privacy budgeting
161 mechanisms proposed above. Importantly, this means that a data owner can set a privacy budget for
162 a data scientist, and the data scientist can perform any analysis they desire (and download results)
163 as long as they stay under their budget. The combination between PrivateScalar and autodp within
164 an existing statistical tool (PySyft + PyTorch) is, we argue, an important threshold in facilitating
165 arbitrary data science over private data such that the data owner may rely heavily on automation to
166 protect their information.

167 3 Conclusion and Future Work

168 In this work we survey recent techniques to propose a system sufficient to achieve an important
169 milestone for the broader scientific community: the ability for a non-technical data owner to allow
170 a data scientist to safely perform arbitrary data analysis over their private information such that
171 the infrastructure can automatically protect the data under study (where “protect” is defined by a
172 privacy budget). While most components for our proposed system exist in recent work, we identify
173 and propose an important missing piece, online, arbitrary sensitivity (pre-publish) composition, and
174 propose a tool capable of satisfying it. Finally, we argue that when combined with previous work in

175 the way we recommend, the protection of private data while under (somewhat) arbitrary data analysis
176 crosses an important usability threshold: automation. We present our prototype implementation
177 as an open-source tool for further maturation². Future work will focus on shoring up side-channel
178 attacks, increasing computational performance, tightening differential privacy bounds, extending
179 PrivateScalar beyond polynomials to threshold functions, and integrating more closely with other
180 encrypted computation techniques offered by PySyft.

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²Prototype will be shared after blind review is complete.

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325 Appendix

326 4 Protect Data From Being Copied

327 The scientific method requires a scientist to collect empirical evidence to support their claim. However,
328 every popular statistical software tool assumes that its user has copied the information they seek to
329 study onto computational resources they control. The natural consequence of this assumption is that
330 scientists are technically capable of using such data for any purpose they desire - including uses the
331 original data owners may oppose. Their data is, both literally and figuratively, “out of their hands”.

332 **Theory** The solution is simple but cumbersome. Instead of aggregating data to a single location, a
333 scientist should delegate their analysis to data owners to run on their own hardware, thus avoiding the
334 need to obtain a copy of the data they study. A huge burst of research has ensued in this direction
335 seeking to break algorithms into discrete parts which can be run by multiple parties in this way.
336 Federated learning (FL) refers to such work in a machine learning setting and federated analytics to
337 statistics more broadly [38, 12].

338 **Implementations** While much progress has been made in studying how to efficiently break al-
339 gorithms apart, and many systems for FL are being built, no implementation has yet emerged as a
340 general-purpose alternative sufficient for scientists at large to no longer aggregate data.

341 To the knowledge of these authors, all systems for FL require a scientist to actively coordinate with
342 each data owner on every experiment (or with the builders of their software)[35]. If they seek to
343 ensure their data is safe, the data owner must, for each experiment, read the code of each experiment
344 for themselves (which assumes the data owner has the time and expertise to do so)[35]. Current FL
345 infrastructure is high-touch, high-trust, high-expertise, and primarily suited for enterprises or mobile
346 applications looking to do the same experiment over a long period of time with parties who trust their
347 intentions (or don’t really have a choice)[35].

348 5 Protect Statistical Models From Being Copied

349 Setting aside open problems in federated learning, even idyllic FL still requires each data scientist
350 to divulge their statistical techniques (and their work-in-progress model) to the data owner for
351 training[35]. While the chief concern of privacy technology is the protection of personal information,
352 in order for the protections of federated learning to be viable in a competitive marketplace, scientists
353 (whether academic or commercial) need some ability to prevent data owners from taking advantage
354 of their access to the statistics being created. The field of encrypted computation promises to address
355 this.

356 **Theory** Encrypted computation, often called “input privacy”, allows for multiple parties to jointly
357 compute a function without revealing their respective inputs to each other [11]. Within the field of
358 cryptography, this field is called Secure Multi-party Computation (SMPC), special cases of which
359 include Homomorphic Encryption and Functional Encryption [6]. Secure hardware can also provide
360 encrypted computation as an alternative to SMPC [16]. While all of these options can offer Turing
361 complete encrypted computation, the ideal technique (or combination) for any particular application
362 varies based on the available compute, ram, and network infrastructure.

363 **Implementations** Recent work has produced a flurry of libraries and chips for general purpose
364 encrypted computation, particularly integer-level encrypted computation which is the preferred variety
365 for statistical computation[16, 15]. Several tools exist which augment existing data science tools to
366 run in an encrypted state [29, 17]. Furthermore, the long-standing challenge of providing encrypted
367 computation frameworks which are performant on non-trivial machine learning tasks has, in general,
368 been accomplished, although encrypted CPU training is typically still 10x+ slower than plain-text
369 CPU training [52]. Creating more performance algorithms and implementations is still a very active
370 area of research [52].

371 However, existing implementations fall short in a way similar to federated learning. Namely, no
372 framework yet exists which is capable of tracking the encrypted computation while it is happening
373 such that a data owner can automatically prevent data from simply being copied and sent to the data

374 scientist during the computation process. And because the computation is encrypted, it's even more
375 challenging for a data owner to read and understand the code they are running on their sensitive data
376 (they would need to be an encrypted computation expert, of which there are very few).

377 For both federated learning and encrypted computation implementations, the missing piece is a
378 security policy (linked to a user permissions system) which can actively and automatically ensure
379 that each user of a system doesn't ever learn too much about the underlying data.

380 5.1 Prevent Statistical Models from Memorizing Data

381 The dominant solution for preventing statistical models from memorizing data is *differential privacy*.
382 Introduced as a privacy constraint around database queries (simple statistics), it has been generalized
383 to offer similar protections over even the most complex statistical analysis - ensuring that statistical
384 results don't compromise the privacy of the records they describe.

385 **Theory** Proposed by [21, 20], differential privacy builds on the intuition that a query from a
386 database is privacy preserving if removing or replacing any entry in the database doesn't change the
387 result of the query. When a query's result does change given input perturbations, various "randomized
388 algorithms" have been proposed to add noise to a database query in such a way that rigorous, worst-
389 case bounds can be set on the probability that an input data-point could be inferred from the query
390 result. Several popular modifications of the original DP definition have been proposed - for which
391 many special-purpose mechanisms have been designed to find the best privacy/accuracy trade-off for
392 specific algorithm types[40, 22, 1, 45].

393 **Epsilon-delta DP** Following the notation found in feldman2020individual, let $S = (X_1, \dots, X_n)$
394 be an analyzed dataset, and $S^{-i} \stackrel{\text{towardsdef}}{=} (X_1, \dots, X_{i-1}, X_{i+1}, \dots, X_n)$ be the analyzed dataset
395 after removing point X_i . (ϵ, δ) -differential privacy (DP) measures privacy leakage using ϵ , an upper
396 bound on the distance between queries on S and S^{-i} , and δ , the probability that the measure of
397 distance fails.

Definition 5.1 A randomized algorithm \mathcal{A} is (ϵ, δ) -DP if for all datasets $S = (X_1, \dots, X_n)$,

$$\mathbb{P}r[\mathcal{A}(S) \in E] \leq e^\epsilon \mathbb{P}r[\mathcal{A}(S^{-i}) \in E] + \delta, \text{ and } \mathbb{P}r[\mathcal{A}(S^{-i}) \in E] \leq e^\epsilon \mathbb{P}r[\mathcal{A}(S) \in E] + \delta,$$

398 for all $i \in [n]$ and all measurable sets E [21, 20, 25].

399 **Privacy Budgeting** Each statistical query spends a certain degree of ϵ . In theory, the total amount
400 of ϵ a data scientist is allowed to acquire in their analysis is called a *privacy budget*. If available, a
401 data scientist can track their current spend of the budget using a *privacy odometer* for the exact value
402 or a *privacy filter* to simply indicate whether or not the budget (or some partial threshold of it) has
403 been exceeded.

404 **Adaptivity and Scope** However, the ability to measure a privacy budget by composing multiple
405 rounds of privacy parameters ϵ and δ is very complex. The simplest and earliest composition theorems
406 are non-adaptive and global, meaning that a data scientist must know all of the queries they want to
407 run before viewing the output of the first one (no interactive exploring of the data), and the privacy
408 budget refers to the leakage from the entire dataset as a whole (as opposed to individuals within the
409 dataset whom we seek to protect). While the former might seem obviously problematic, the latter
410 requires context.

411 Consider two data scientists, Bob and Alice, running their experiments against two medical data-sets
412 at two different hospitals (respectively). Importantly, these data-sets have overlapping patients even
413 though they're at different hospitals. If Bob and Alice are each given privacy budgets of $\epsilon = 3$, if
414 they compare their results they could (in theory) learn more than $\epsilon = 3$ worth of information about
415 the overlapping patients in their dataset!

416 That is to say, just limiting each scientist to a certain amount of ϵ budget doesn't ensure that people
417 in the dataset are actually protected if scientists share results (or simply make them public). Instead,
418 we should limit each *data subject* (in this case medical patients) to a certain amount of budget, no
419 matter where that budget is being spent. DP which tracks a unique epsilon per individual is called
420 individual DP.

421 **Adaptive, Individual, Rényi-DP** While a full survey of adaptive and individual DP methods is out
422 of the scope of this work, we do focus on a particular extension of (ϵ, δ) -DP called Rényi DP-(RDP),
423 which was recently extended with useful definitions and examples for adaptive composition with
424 individual differential privacy by [25] and [23]. It is upon this work we will propose our end-to-end
425 system.

426 5.2 Implementations

427 Recent work has seen many new tools for differential privacy, motivated by the desire for DP's
428 complex analysis to be conveniently available both to practitioners and laymen alike. Works can be
429 split into two groups by deployment environment: database differential privacy ([39], [46], [34], [36],
430 [9]) and differential privacy within more general software programs: MapReduce ([50]), functional
431 programs ([26, 30, 43]), federated datasets ([42]), and Python programs ([41, 55]).

432 Of the latter tools which support DP over arbitrary functions, there are two subgroups. Most tools
433 exclusively focus on tracking and composing ϵ (post-query composition analysis), but some tools also
434 track the arbitrary computation occurring before a publish event so that noise can be automatically
435 calibrated to meet a certain budget [43, 48, 26, 5, 7, 58, 36]. Specifically, such tools track the
436 "sensitivity" of a function's output to input perturbations. It is noteworthy, however, that some DP
437 techniques calibrate noise based on measures other than sensitivity, but no automatic tools yet leverage
438 them[25].

439 **Relationship to Permissions Systems** Similar to systems for federated learning and encryption, in
440 our view the primary shortcoming in most systems for tracking DP is that nearly all systems capable
441 of general purpose data science are decoupled from a remote-procedure call and object permissions
442 system.

443 Put another way, while tools for analysis can tell you if your statistical analysis would leak private
444 information if published, they can rarely use the conclusion of such analysis to explicitly prevent you
445 from publishing anyway. The primary exception can be found within some database-query style DP
446 tools, but these lack the ability to do arbitrary computation. A standout exception is the early work
447 of [41] which does allow arbitrary programs and enforces a privacy budget against a data scientist
448 adversary.

449 **The Expressiveness of Automatic Sensitivity Tools** However, while [41] does enforce a privacy
450 budget for arbitrary programs, it does so by requiring the data analyst

451 While some hybrid sensitivity-composition tools exist the sensitivity analysis they offer is primarily
452 linear and entity generic. To the best of the authors knowledge, a sensitivity analysis tool does not
453 yet exist with supports analysis over the multiplication of two private values (without the result
454 having infinite sensitivity) or a general purpose mechanism for tracking the sensitivity of non-linear
455 functions. Additionally, no hybrid sensitivity-composition analysis tools are in a language commonly
456 used for data science and by extension, none have been integrated into a popular statistical tool for
457 general-purpose use.

Name	MoYr	Make	Base	RPC	OPC	AM	DP	PM	M	B	S	T
PySyft	Jul17	OpenMnd	TH	Y	Y	Y	3rd	Y	Y	Y	Y	Y
TFF	Sep18	Google	Any	N	N	N	3rd	N	N	N	Y	N
FATE	Sep18	WeBank	TH,+	N	N	N	3rd	N	N	N	Y	?
LEAF	Dec18	CMU	TF	N	N	N	3rd	N	N	N	Y	?
eggroll	Jul19	WeBank	TF, +	?	N	?	3rd	N	N	N	Y	?
PaddleFL	Sep19	Baidu	PD	Y	N	?	Y	N	N	N	Y	?
FLSim	Nov19	iQua	TH	N	N	N	N	N	N	N	Y	N
Clara	Dec19	NVIDIA	TF	N	N	Y	Y	N	N	N	Y	?
IBMFL	Jun20	IBM	KS+	N	N	N	Y	N	N	N	Y	Y
FLeet	Jun20	EPFL	TF?	N	N	?	Y	N	A	N	?	?
IFed	Jun20	WuhanU	CS	N	N	N	Y	N	N	N	Y	Y
FedML	Jul20	FedML	TH	Y	N	N	Y	N	A	N	Y	Y
Flower	Jul20	Cmbrdge	Any	Y	N	N	3rd	N	Y	?	Y	Y

Table 1: Federated Learning systems listed in order of publication (earlier of paper or Github repo). Name: the name of the system. MoYr: the month and year of publication. Make: the sponsoring organization. Base: the primary ML framework (TH=PyTorch, TF=Tensorflow, PD=Paddle, KS=Keras, CS=Custom, Any=Arbitrary, += multiple truncated for space). RPC: can a data scientist / coordinator node push jobs to workers or do workers pull them? OPC: Object level RPC - can a data scientist / coordinator interactively control arbitrary objects on the data nodes. AM: Can a data scientist / coordinator set/change the model architecture being trained without having access to client workers (or having to restart them)? DP: Does the framework natively support some kind of Differential Privacy (3rd = third party library can support). PM: Does the framework have a permissions system such that the data scientist/coordinator is considered a malicious adversary where the infrastructure’s job is to prevent them from using their access to steal private data. M: Does the framework have mobile support (A=Android only)? B: Can the framework run in the browser? S: Can the framework run on servers? T: Can the framework run on IoT devices? [51, 32, 56, 13, 57, 33, 44, 37, 18, 14, 31, 8, 54]

Name	Based	RPC	ORPC	FSA	ISA	AC	IDP	RDP	PM	ML	UAPI
PINQ	-	SQL	No	Yes	No	API	No	No	Yes	No	C#
Airavat	-	MapR	No	No	No	Map	No	No	Yes	Yes	Java
Reed	-	Cust	No	Yes	Yes	?	No	No	No	Yes	Cust
Fuzz	PINQ	SQL	No	Yes	Yes	API	No	No	Yes	No	C#
GUPT	-	Pyth	No	Yes	No	Map	No	No	Yes	Yes	Pyth
wPINQ	PINQ	SQL	No	Yes	No	API	No	No	Yes	No	C#
DJoin	-	SQL	No	Yes	No	No	No	No	Yes	No	SQL
DFuzz	Fuzz	SQL	No	Yes	Yes	API	No	No	Yes	No	C#
RAPOR	-	No	No	Yes	No	API	No	No	No	No	C++
HOAre	DFuzz	SQL	No	Yes	Yes	API	No	No	Yes	Yes	C#
FLEX	-	SQL	No	Yes	No	No	No	No	Yes	No	SQL
Proclo	-	No	No	Yes	No	API	No	No	No	No	C++
Fuzzi	aRHL	No	No	Yes	Yes	API	No	Yes	No	Yes	Cust
Duet	-	No	No	Yes	Yes	?	No	Yes	No	No	Hskl
TFpriv	-	No	No	No	No	No	No	Yes	No	Yes	Pyth
pyvacy	-	No	No	No	No	No	No	Yes	No	Yes	Pyth
autodp	-	No	No	No	No	Yes	No	Yes	No	Yes	Pyth
WNoise	-	SQL	No	Yes	Yes	Yes	No	Yes	No	No	Pyth
GoogDP	-	No	No	No	No	No	No	No	No	No	R,Go
PyDP	GoDP	No	No	No	No	No	No	No	No	No	Pyth
SwftDP	GoDP	No	No	No	No	No	No	No	No	No	Swft
dp.js	GoDP	No	No	No	No	No	No	No	No	No	JS
JavaDP	GoDP	No	No	No	No	No	No	No	No	No	Java
ClojDP	GoDP	No	No	No	No	No	No	No	No	No	Cloj
diffPR	GoDP	No	No	No	No	No	No	No	No	No	R
Opacus	-	No	No	No	No	No	No	Yes	No	Yes	Pyth

Table 2: Differential privacy systems listed in order of publication year. Name:the name of the system. RPC: What language defines a query if the system allows remote operation. ORPC: Does the framework support object-level RPC (Yes) or just a static function API (No)? FSA: Can the system infer the sensitivity of supported functions (Yes) or does it need to be specified by the system designer (No)? ISA: Can FSA happen with knowledge of the underlying object sensitivity (i.e., is the sensitivity fixed per function (No) or dynamic to the object (Yes) over which the function is being called?). AC: How flexible is computation? (MAP: can pass in arbitrary map functions, but not reductions, API: arbitrary computation but only to the API the data owner explicitly develops, ?: Generally a flexible paradigm but unclear because some operations are limited or generate infinite budget spend). IDP: Supports individual differential privacy? RDP: Supports Rényi DP? PM: Integrated with a permissions system such that the user is considered an untrusted adversary who must stay under a privacy budget. ML: API flexible enough for general-purpose machine learning? UAPI: what language does the user use to query data?[39, 50, 48, 30, 41, 46, 42, 26, 7, 34, 58, 43, 55, 53, 1, 10, 24]⁴